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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

Attorney Docket No. AND1P569

First Named Inventor:

SLOAN, Ronald E.

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Express Mailing Label

L624640954US.



05/25/00
1603 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventor:
Ronald E. Sloan and Stephen B. Slutsky

For: **A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR FORECASTING AND STREET TESTING USING A NETWORK-BASED PERSONAL INVESTMENT MANAGER**

Application Elements:

- ☒ 109 Pages of Specification, Claims and Abstract
- ☒ 40 Sheets of Drawings
- ☐ ** Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Small Entity Statement(s)
- ☒ Other: **NO CHECKS ENCLOSED (Please charge Deposit Account 50-0797)**

☒ The Commissioner is authorized to charge any fees which may be required, or to credit any overpayment, to Deposit Account No. 50-0797 (Order No. AND1P569).

General Authorization for Petition for Extension of Time (37 CFR § 1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR § 1.17 as may be needed to Deposit Account No. 50-0797 (Order No. AND1P569).

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PATENT TRADEMARK OFFICE

Date: May 25, 2000

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